



# Spillway Conduit Rehabilitation – Key Factors to Know

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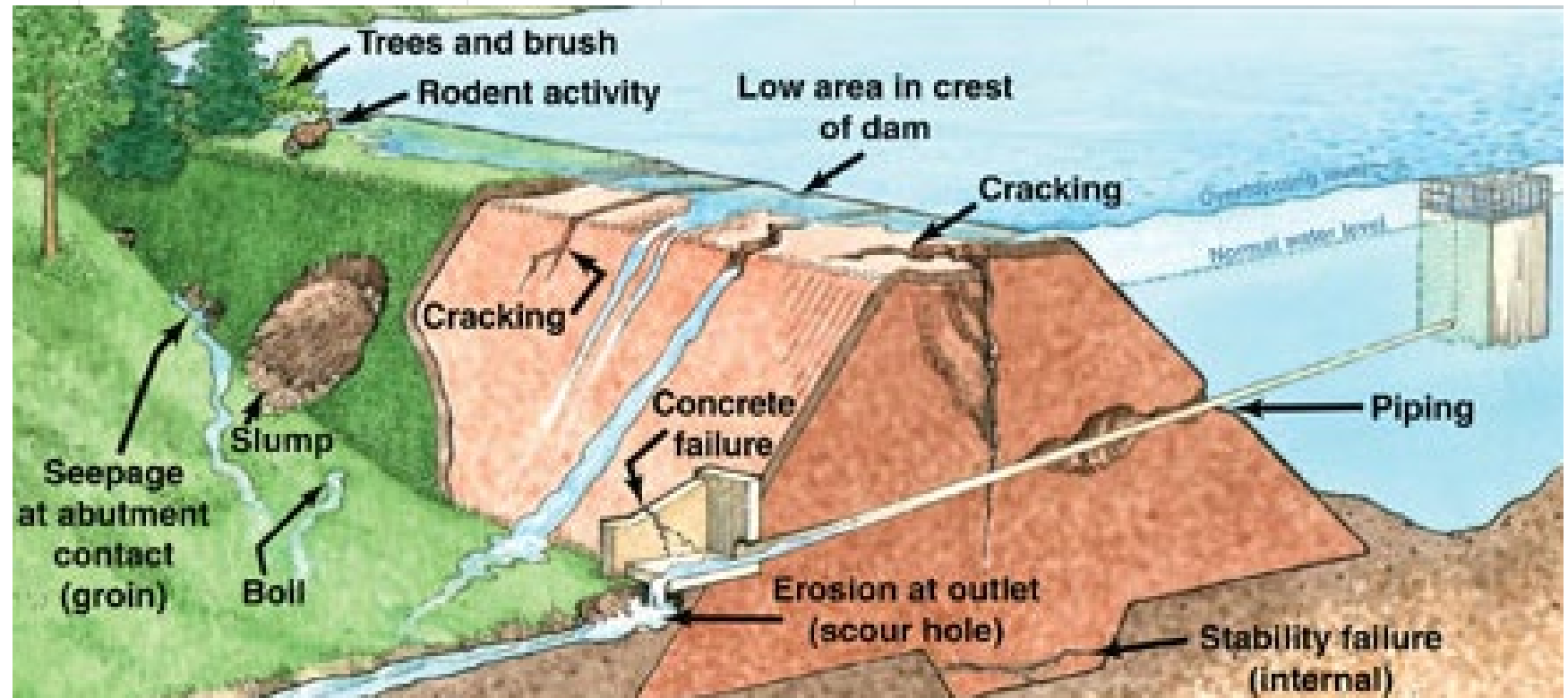
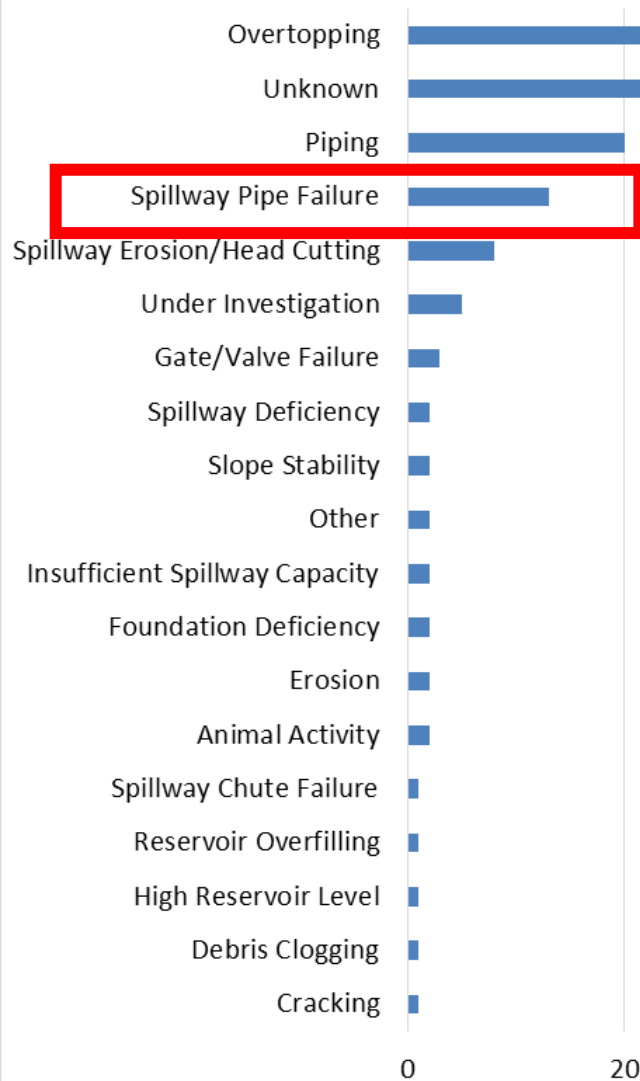
## Presentation Outline

- How Do Dams Fail and Why are Spillway Conduits Important?
- Conventional Spillway Conduit Rehabilitation Methods
- Conduit Materials Typically Used in Embankment Dams
- General Approaches to Designing Conduits in Embankments
- Design and Construction Considerations for:
  - Replacement Spillway Conduits
  - Sliplining
  - CIPP Liner



# How Do Dams Fail?

**Dam Failure Primary Incident Mechanism**  
ASDSO Incident Database 2010 - 2019





## REMINDER

**A conduit is a discontinuity that creates opportunities for seepage, settlement, and cracking that can lead to uncontrolled leakage and failure.**



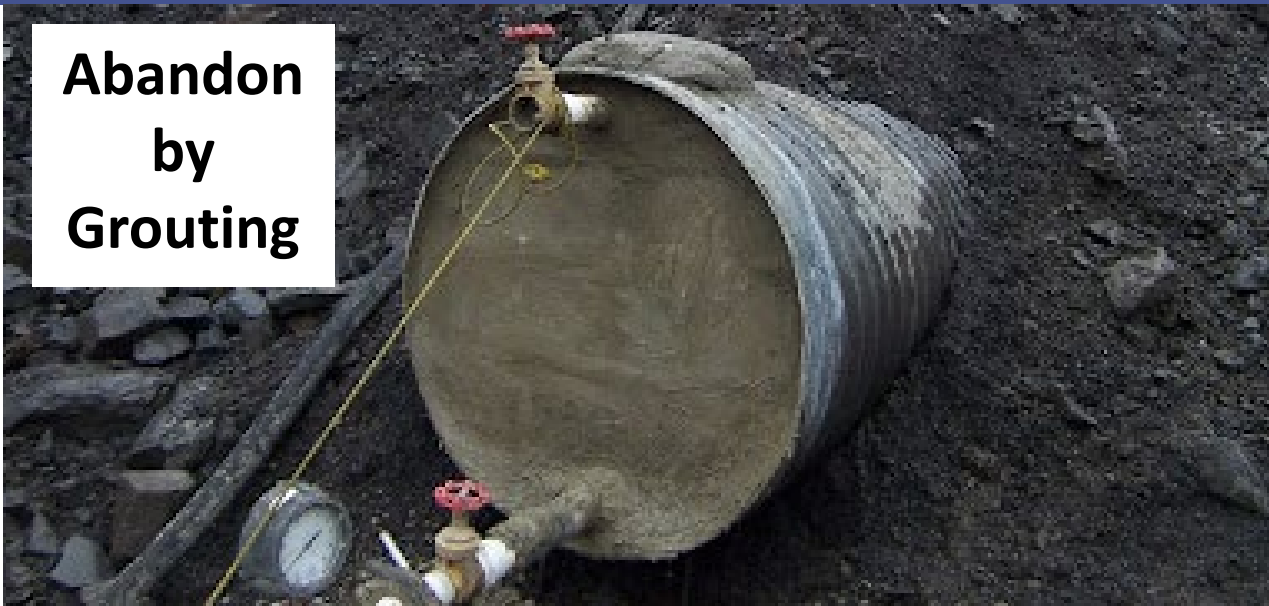


# Deteriorated CMP Spillway Conduits





# Conventional Spillway Conduit Rehabilitation Methods





# Conduit Materials Typically Used in Embankment Dams

## Concrete Pipes

- Reinforced Cast-in Place Concrete
  - Long history of use by major federal agencies
- Precast Concrete – RCP, RCCP, and PCCP
  - USBR prohibits use of precast concrete conduits through embankment dams
  - NRCS uses RCCP/PCCP for embankment dams
- Aggressive water or soil chemistry can limit service life





# Conduit Materials Typically Used in Embankment Dams

## Plastic Pipes

- Primarily used for sliplining existing conduits or drainpipes
- Shorter service life (~50-yr) compared to concrete conduits (~100-yr)
- Less robust joint integrity
- Do not bond well with soil and require full encasement
- Thermoplastic
  - Solid materials that change shape when heated
  - PVC or HDPE (preferred for sliplining)
- Thermoset
  - Rigid after curing and cannot be reformed
  - CIPP







# Conduit Materials Typically Used in Embankment Dams

## Metal Pipes

- Steel
  - Used in some sliplining applications
  - More often used as liner in reinforced cast-in place concrete conduits
  - Protected with a variety of linings and coatings
  - Require concrete encasement to assure compaction
- Ductile-iron
  - Introduced in 1955 and commonly used in water/wastewater systems
  - Greater range of deformation and less brittle than CIP
  - Also has greater tensile and compressive strength than CIP
  - Require concrete encasement to assure compaction
- Cast-iron
  - Typically limited up to 15-inch diameter
  - Many CIP have been in service for over 100-yrs
  - Not considered acceptable for dam construction by any federal agency
- CMP
  - Service life of ~25 to 50-yrs
  - Aggressive conditions can significantly reduce service life
  - Major federal agencies prohibit their use on high hazard dams



## Technical Manual: Plastic Pipe Used in Embankment Dams

Best Practices for Design, Construction, Problem Identification and Evaluation, Inspection, Maintenance, Renovation, and Repair

November 2007



FEMA



## Technical Manual: Conduits through Embankment Dams

Best Practices for Design, Construction, Problem Identification and Evaluation, Inspection, Maintenance, Renovation, and Repair

September 2005



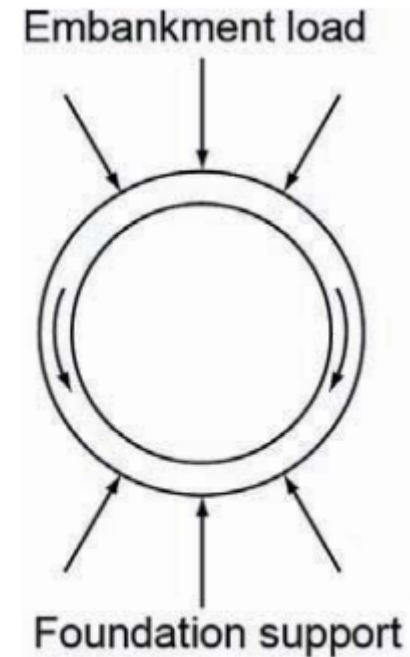
FEMA



# Common Approaches to Designing Embankment Conduits

## Rigid Pipe Design

- Rigid Pipe Design
  - Applies to
    - Reinforced Concrete Pipe
    - Cast Iron
    - Clay Pipe
  - Assumes pipe maintains shape under loading by transferring load to the foundation through the pipe wall
  - Rigid pipe is considered stiffer than surrounding fill
  - Does not require support from surrounding fill
  - Only allow minimal deflection without structural distress



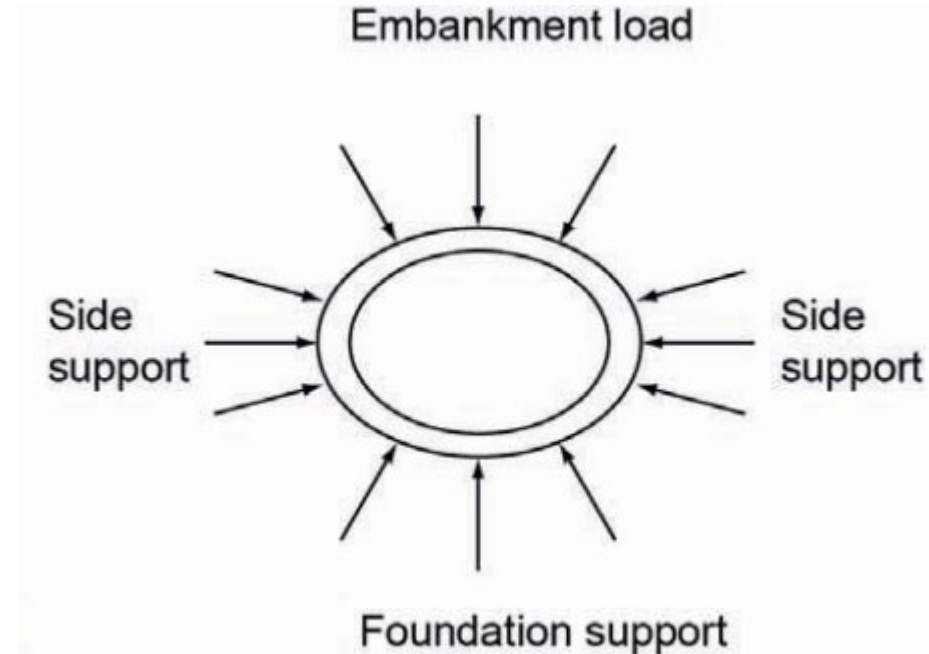
(a) Load transfer in rigid pipe



# Approaches to Designing Embankment Conduits

## Flexible Pipe Design

- Flexible Pipe Design
  - Applies to:
    - HDPE
    - PVC
    - CMP
    - Ductile Iron
    - Steel
  - Derives its load carrying capacity from its ability to transfer load to the surrounding soil
  - Defined as a pipe that deflects at least 2 percent out of round without structural distress



(b) Load transfer in flexible pipe



# Replacement Spillway Conduit Materials

- Preferred Pipe Material – PCCP used on many high hazard earth dams
- Alternative Pipe Material – DIP, which may be more cost effective and more readily available for smaller conduits
- Other Steel and Plastic Pipe are not typically considered due to less robust joints and requiring a full encasement





# PCCP Design Considerations

## Rigid Pipe Design

- Reinforced concrete design of precast concrete pipes has been standardized by manufacturers
- Design procedures specified in AWWA MP (RCP and RCCP) and AWWA C304 (PCCP)
- As an alternate to theoretical reinforced concrete design, there is an indirect design procedure based on product testing
- NRCS requires that precast concrete pipes be tested for three-edge bearing and meet certain performance criteria
- NRCS has worked with AWWA to develop design curves as a basis for proof of strength
- NRCS TR-5 *Structural Design of Underground Conduits* includes procedures to determine three-edge bearing strength, which needs to be included on the construction drawings and verified through testing or documentation



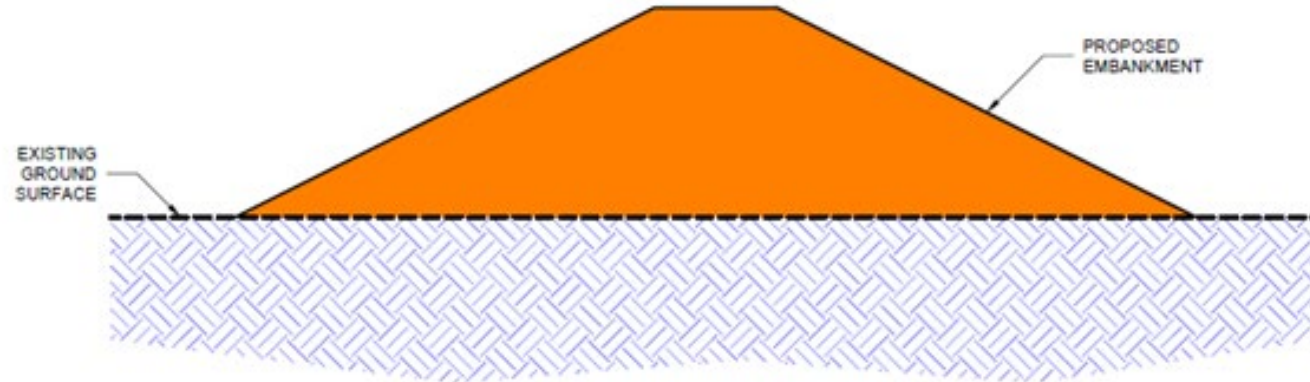
## DIP Spillway Conduit - Flexible or Rigid Pipe Design?

- DIPs are typically designed as flexible conduits in non-dam applications
- However, NRCS allows the use of DIP in embankment dams if they are designed as a rigid conduit
- Follow NRCS TR-5 procedures to determine three edge bearing strength similar to PCCP, with additional requirements specific to DIP provided in a 1968 SCS Memorandum

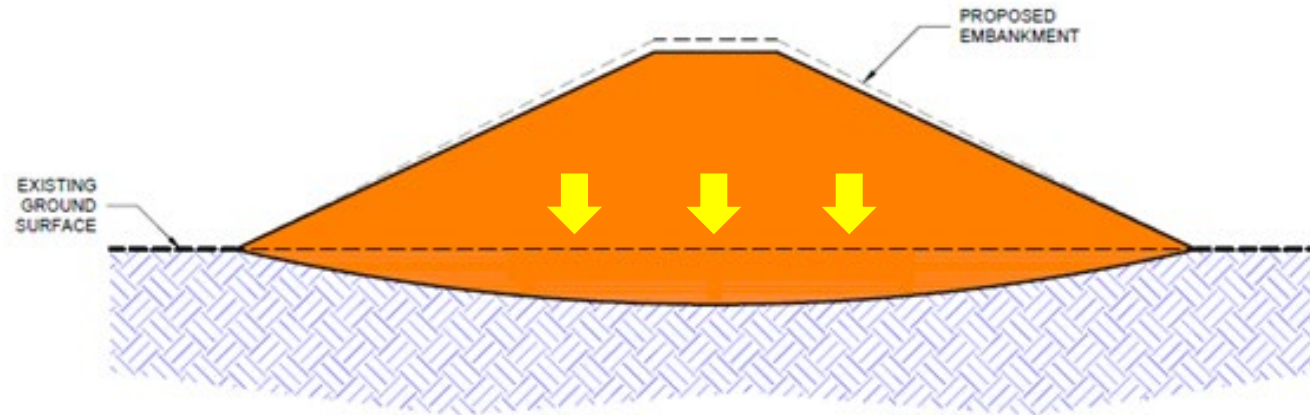




# Geotechnical Considerations for Replacement Conduits Foundation Settlement – Joint Integrity



EMBANKMENT DESIGN

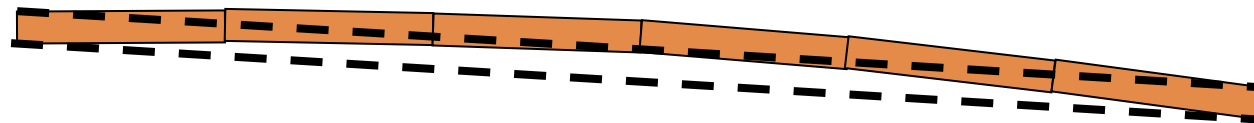
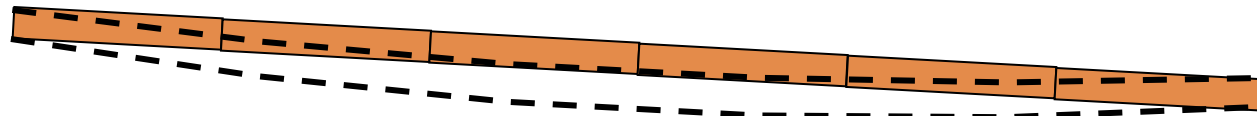


EMBANKMENT SETTLEMENT





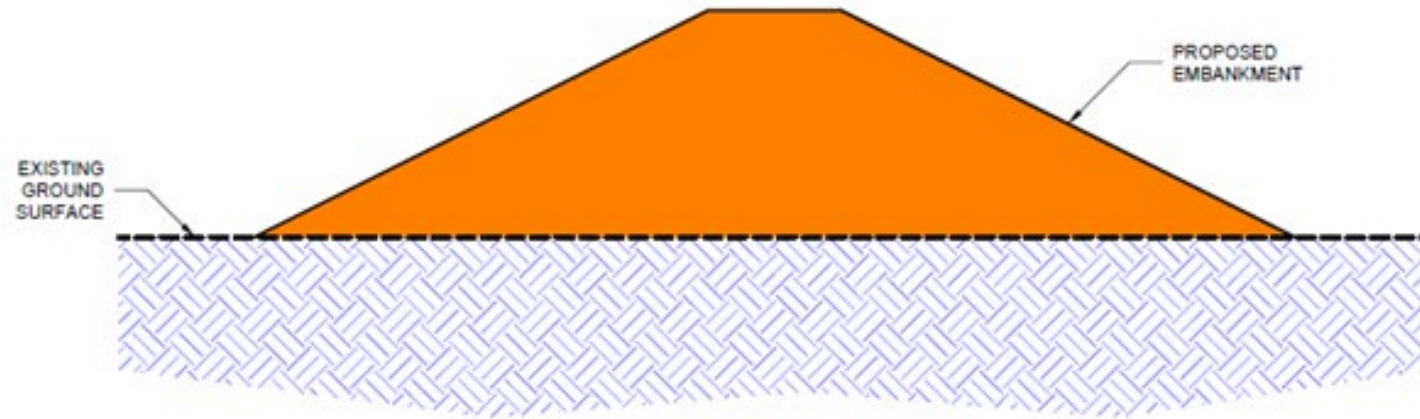
# Conduits Designed w/ Camber to Account for Settlement



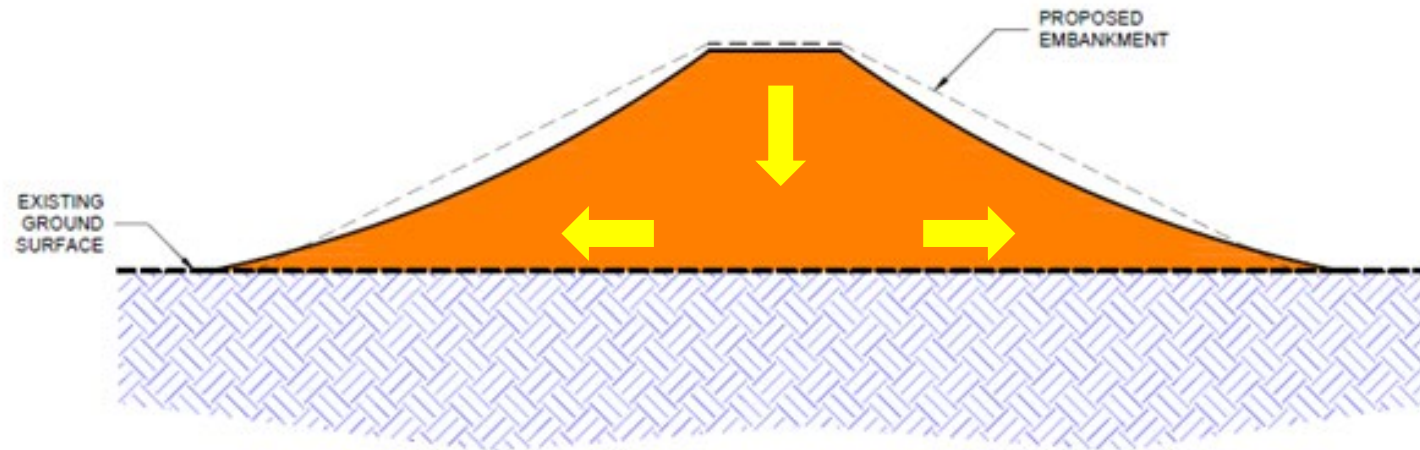


# Geotechnical Considerations for Replacement Conduits

## Embankment Strain – Joint Integrity



EMBANKMENT DESIGN

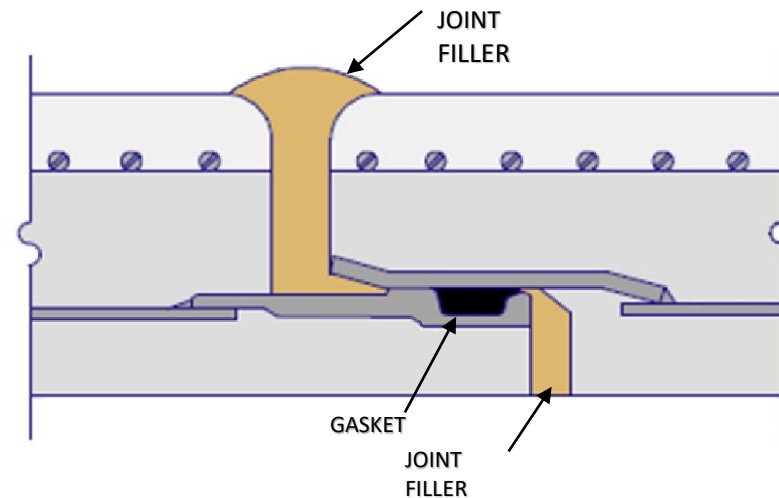


EMBANKMENT STRAIN



# Replacement Conduits - Joint Selection

- Water tight
- Soil tight
- Flexibility
- Deep
- Allows for movement without compromising the seal

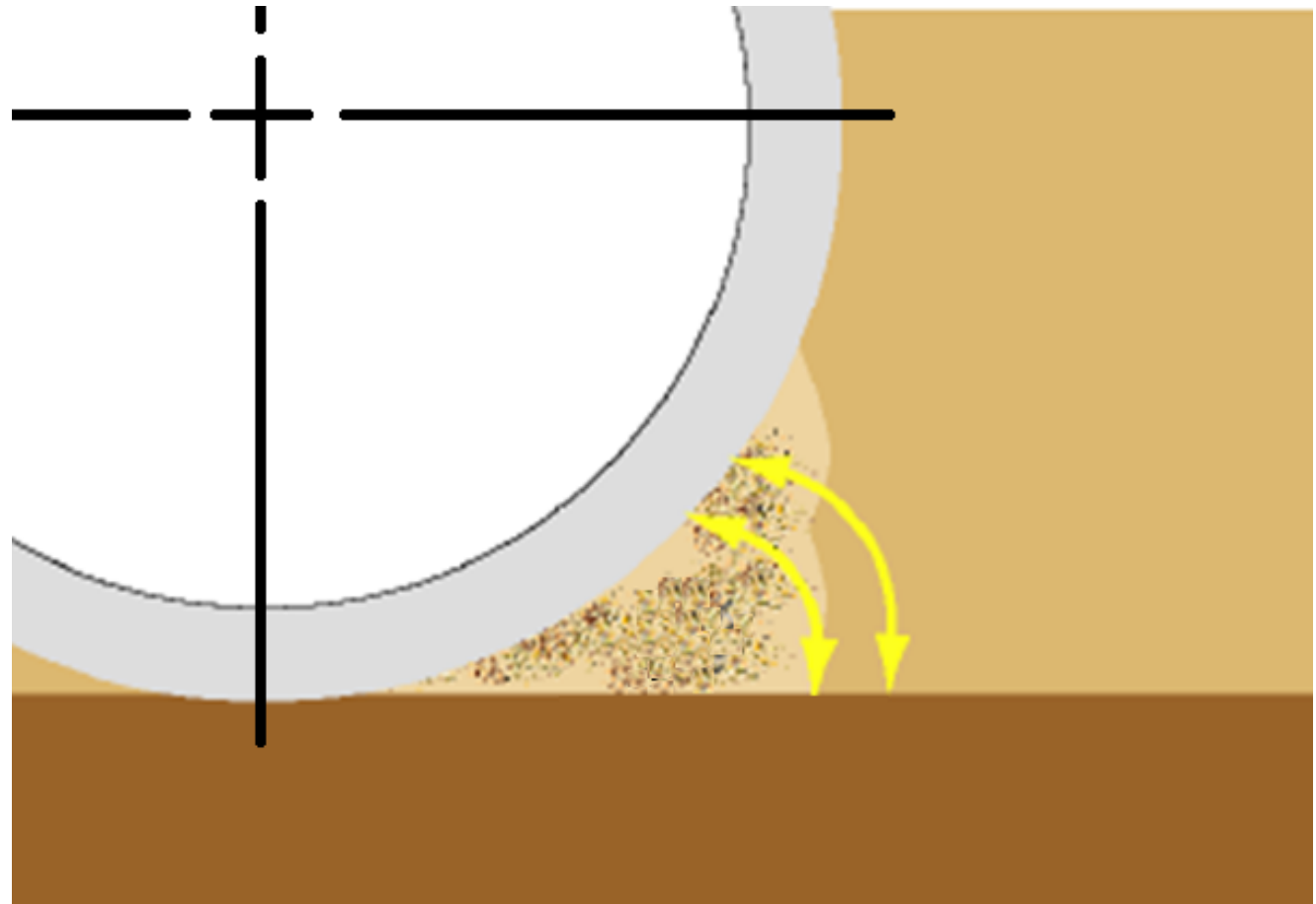




# Construction Considerations for Replacement Conduits

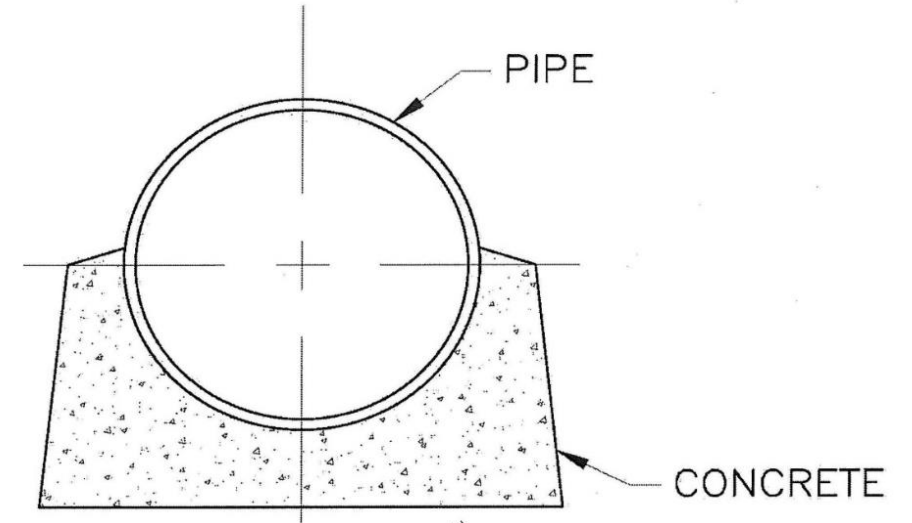
## Earthfill Compaction

- Compaction is extremely difficult below the spring line of the conduit
- Some Materials Do Not Bond Well With Soil
  - Polyvinyl Chloride (PVC)
  - High Density Polyethylene (HDPE)
- Conditions that Facilitate Compaction
  - Battered walls
  - Limit or reduce uncompacted lift thickness
  - Limit maximum particle size





# Construction Considerations – Concrete Cradle or Encasement



Facilitates Concrete Placement  
Facilitates Earthfill Placement  
Allows for Conduit Articulation



# Design Considerations for Sliplining

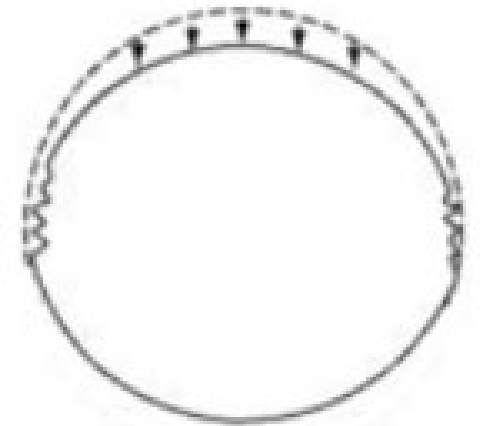
- Installing conduit of smaller diameter and grouting of annular space
- Typically HDPE
- Plastic pipe surrounded by grout, concrete or flowable fill does not become a rigid pipe - it needs to be evaluated differently
- FEMA describes the approach as Encased Plastic Pipe Design
- Encasement provides uniform circumferential support to the plastic pipe, so cross sectional deflection is considered negligible
- Potential exists for hydrostatic pressure to develop through cracks, joints, imperfections in the encasement
- Therefore, structural design of sliplining should consider:
  - Wall Crushing
  - Wall Buckling
  - Internal Hydrostatic Pressure





## Wall Crushing – Encased Plastic Pipe Design

- Any support from the encasement or existing pipe is ignored
- Wall crushing typically occurs at the 3 and 9 o'clock positions
- This localized yielding typically occurs with stiff flexible pipes installed in deep compacted fill
- Less stiff flexible pipe more frequently fails from wall buckling
- Soil loads should be calculated considering a positive project condition – refer to FEMA
- Refer to FEMA for Equations for evaluating wall crushing, which will provide a min required pipe thickness

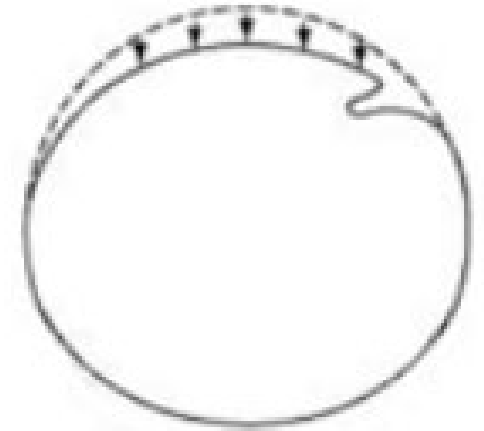


(a) Wall crushing



## Wall Buckling – Encased Plastic Pipe Design

- Potential exists for an opening to develop within the grouted annulus
- So the slipliner should be designed to withstand external hydrostatic pressure from the reservoir
- Refer to FEMA for Equations for evaluating wall buckling for both short term and long term conditions.
- Short Term - checking that applied grout pressure does not exceed computed short term unconstrained collapse pressure considering some factor of safety
- Long Term – checking max hydrostatic pressure does not exceed computed long term unconstrained collapse pressure considering some factor safety



**(b) Wall buckling**



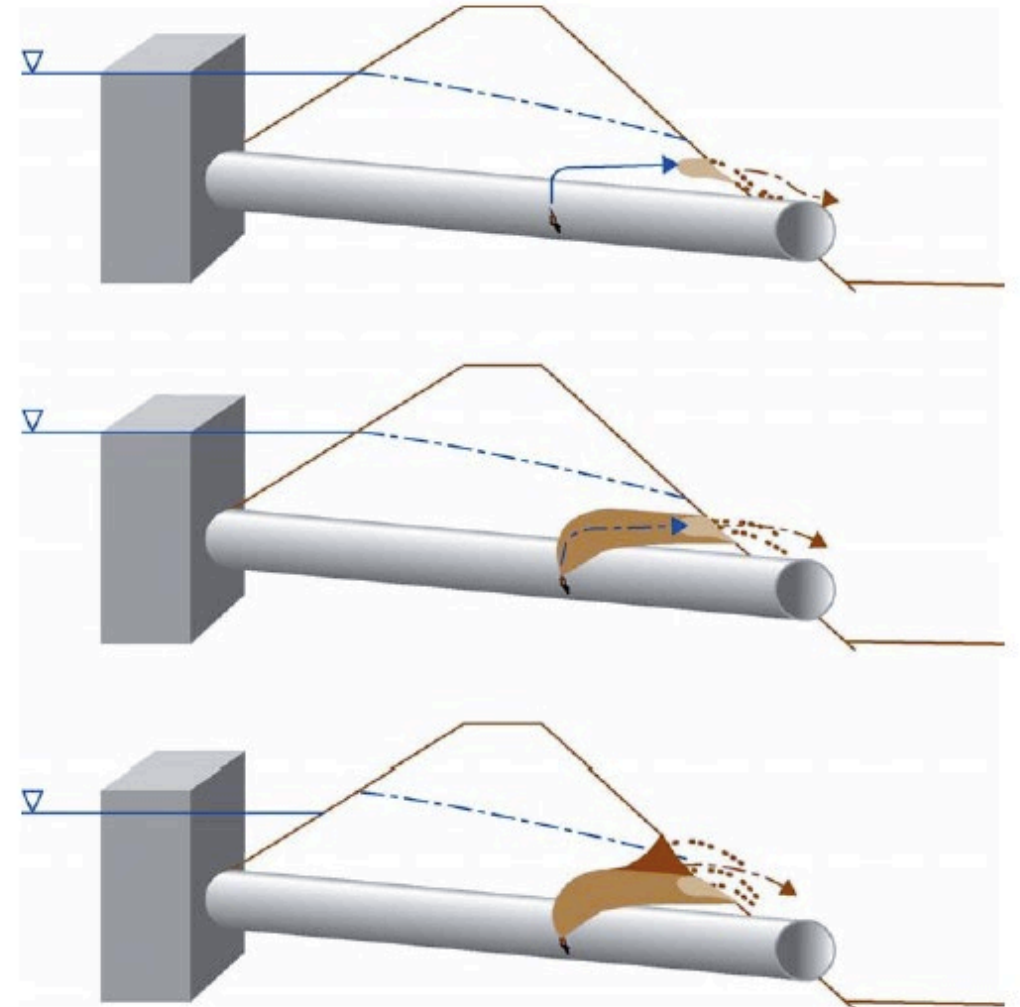


# Internal Hydrostatic Pressure – Encased Plastic Pipe Design

- Ideally, spillway conduits through embankment dams should not be designed as pressurized conduits
- But if it is, internal hydrostatic pressure needs to be checked
- Again, refer to FEMA for the equations to check that the hydrostatic design basis does not exceed the manufactured pipe's pressure rating



(d) Excessive internal hydrostatic pressure





## Design Considerations for CIPP Liner

- CIPP design does not fall into either flexible or rigid pipe design
- Structural design follows methodology in ASTM F2019 and F1216 to check liner thickness
- Soil loads computed following FEMA considering the type of conduit installation (positive/negative, projection/trench, complete/incomplete)
- Critical to evaluate the existing pipe and characterize it as either partially or fully deteriorated
- Evaluate ovality of the existing pipe
- Refer to manufacture for proposed product data
- Select an appropriate FS based on uncertainty and confidence per USACE *Guidelines for Trenchless Technology*.





# QUESTIONS??

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